

# STANDARDS, ETHICS AND RULES COMMITTEE

## Introduction and Objectives

1. The Committee role is:
  - a) to advise the Board on how best to establish and promote high standards of behavior for all participants in athletics in the United Kingdom, including
    - general conduct
    - anti-doping
    - ethical behaviour generally
    - safeguarding
    - anti-discrimination
    - anti-corruption
    - health and safety
    - any other matter assigned to it by the Board from time to time; and
    - to make recommendations to the Board in relation to rules, regulations, directives, policies, codes or otherwise as it considers appropriate for the Board to adopt
  - b) to advise the Board on regulatory best practice, including compliance with relevant obligations as the Member Federation of World Athletics responsible for athletics in the United Kingdom
  - c) to ensure that the “Rules of Competition” (the “Rules”) and all other provisions (“Codes”) are consistent as a whole, that they are relevant, clear and easily accessible and understandable for all participants, and that there are appropriate and effective education and awareness programmes in place. The Committee shall carry out, as a minimum, an annual review of the Codes, and a biennial review of the Rules, and with the Committee able to make recommendations to the Board as and when it considers appropriate
  - d) to provide non-executive oversight and support for the executive having responsibility for matters covered by the Rules and Codes, including ensuring non-executive oversight of decisions as to whether or not to investigate and prosecute a matter under the Codes or Rules, and the conduct of such investigations and prosecutions, and ensuring effective safeguarding case management
  - e) to consider any medical/legal ethical issue arising out of Performance or Talent activities referred to it by the Chief Executive, to enable a recommendation to be made to the Board; and
  - f) to ensure that all matters requiring independent decision making, such as disciplinary cases, licensing decisions and safeguarding cases under the Rules and Codes are dealt with to the highest standards of regulatory practice.
2. In seeking to achieve the objective at 1 c. above, the Committee shall at all times consider the position of the individual who is bound by the Rules and Codes, including that all individuals will be bound by rules and regulations of the relevant Home Country Athletic Federation. The Committee shall consider and report on any issues arising in relation to the achievement of that objective to the respective HCAF board.

## **Constitution**

3. The Committee will be appointed by the Board and report on its decisions and actions directly to the Board through the chair or otherwise as appropriate. The chair shall be a member of the Board. Minutes shall be kept of all Committee meetings, which shall be reported promptly to the Board and recorded as appropriate in the Board's minutes.
4. The Committee will be comprised of individuals with such skills and experience as the Board considers appropriate. The Home Country Athletics Federations shall propose members with appropriate skills and experiences, whether independent or not. Any member of the Committee who has a connection within the sport will, whatever their roles or involvements, act independently on the Committee of any organisation with which they are connected. The Board will appoint further "independent" members, on the recommendation of the Committee chair and Chief Executive. ("Independent" these purposes means having no involvement in a paid, voluntary or representative capacity whatsoever in any athletics-related organisation within the previous 4 years.)
5. Members may serve for a maximum period of eight years in total.
6. The Committee shall carry out its role through meetings in such manner and frequency as determined by the chair. The Committee shall be able to establish sub-committees and working groups of its members, subject to ratification by the Board.

## **Executive Authority and Budget Responsibility**

7. The Committee shall not have independent authority to take executive action or to commit expenditure.
8. A UKA executive will be accountable to the UKA Chief Executive for this, including:
  - a) conduct of all the above activity, including ensuring Rules meet best practice, that there are effective education and awareness programmes, that there is appropriate compliance monitoring, and that investigations into alleged breaches, and case management generally, is carried out to best practice standards
  - b) engagement of appropriately skilled and experienced investigators, lawyers or other professional advisors, as appropriate
  - c) liaising generally with relevant other authorities, such as relevant anti-doping agencies, athletics integrity organisations, the police and/or other relevant authorities having jurisdiction, such as local authorities.

Notes: The scope of the Committee does not include HCAF membership requirements such as club financial and governance matters.